EXECUTIVE SUMMARY

The Office of Research annual report provides an overview of Syracuse University's sponsored programs activities, including expenditures, awards, proposals and regulatory compliance activities. This report presents information for fiscal year (FY) 2015, the July 1, 2014 through June 30, 2015 reporting period.

Sponsored expenditures increased to a total of $76.2 million, a 16.9% increase as compared to FY 2014. Overall, the federal government remains the primary source of sponsored support, contributing $44.4 million or 58.3% of sponsored expenditures. New York State was the next major source of expenditures, at $8.6 million or 11.3% of the total expenditures. Corporations and Other State and County Government sponsors each accounted for $7.6 million in expenditures, or 20% of the total combined. Foundations/non-profit organizations sponsored support tallied $6.2 million or 8.1% of total expenditures. The remaining $1.8 million was from all other sources.

Increases in expenditures were noted from the Small Business Administration with an increase of 146.7%, Department of Health and Human Services showing an increase of 27.5% and the Department of Defense with a 22.2% increase over FY 2014. New York State expenditures also improved with an increase of 23.7% over FY 2014.

Facilities and administrative (F&A) and fringe benefit recoveries combined showed a 6.2% increase in FY 2015 compared to FY 2014. F&A recovery was $10.5 million, a 9.7% increase from FY 2014, while fringe benefit recovery was a steady $5.3 million.

Awards totaled $86.7 million in FY 2015, a 29.3% increase from FY 2014. The federal government awarded $51.7 million or 59.6% of total awards, a 12.7% increase from FY 2014. The NSF was the primary federal sponsor, issuing $20.2 million in awards, a 15.9% increase over FY 2014 awards. The second largest sponsor, the Department of Defense, issued $7.2 million in awards, a 5.7% decrease from FY 2014. New York State awarded $9.9 million, 102.4% increase over FY 2014 awards. Non-federal awards totaled $35.0 million.

In FY 2015, the Office of Sponsored Programs received 510 awards, a 7.1% increase from FY 2014. This year's average award size was $168,386, up from $140,893, and the median award was $60,980 up from $60,000.

There were 634 proposals submitted in FY 2015, compared to the 617 submitted in FY 2014. Proposal dollars requested in FY 2015 totaled $255.9 million, a 3.3% decrease as compared to FY 2014. This year's average proposal amount was $403,586, down from $428,882 and the median proposal amount was $150,000, exactly the same as FY 2014.

The Office of Research Integrity and Protections (ORIP) administers the Institutional Review Board (IRB) and the Institutional Animal Care and Use Committee (IACUC). Noteworthy accomplishments include continued development and improvements to policies and procedures to maintain full accreditations in animal research with the Association for the Assessment and Accreditation of Laboratory Animal Care. The Institutional Review Board (IRB) authorized or approved 353 new protocols (187 exempt, 154 expedited and 12 full board) and 157 renewals while the IACUC authorized or approved 43 protocols (10 new, 33 renewals).

TABLE OF CONTENTS

Executive Summary i
Table of Contents ii
Office of Research – Services and Staff iii

SECTION I SPONSORED PROGRAMS EXPENDITURES 1

Total Expenditures by Sponsor Category 1
Total Expenditures by Federal Agencies 1
Total Expenditures by Major University Unit 2
Total Expenditures by Department and Program 3
Facilities and Administrative and Fringe Benefits Recoveries 7
Facilities and Administrative Costs Expenditures by Department and Program 8

SECTION II TUITION EXPENDITURES 12

Sponsor Awarded 12

SECTION III AWARD ACTIVITY 13

Awards Received by Sponsor Category 13
Awards Received by Federal Sponsor 13
Awards Received by Major University Unit 14
Awards Received by Department and Program 15

SECTION IV PROPOSAL ACTIVITY 19

Proposals Submitted by Major University Unit 19
Proposals Submitted by Department and Program 20

SECTION V RESEARCH INTEGRITY AND PROTECTIONS 24

Human Participants Research 24
Animal Subjects Research 26

Office of Research - Services and Staff

The Office of Research works with other University departments and offices to support and foster research scholarship through extramural research, economic development, outreach activities, and other scholarly or creative activities. This mission is advanced through its component units the Office of Sponsored Programs (OSP) and the Office of Research Integrity and Protections (ORIP). These units collaborate to provide University faculty, staff and students a wide range of support services essential to research and compliance administration. Other partners include the Office of Technology Transfer and Industrial Development, Corporate and Foundation Relations, Office of Sponsored Accounting, Government and Community Relations, Environmental Health Office, Risk Management Department , Disbursements, Human Resources and Payroll as well as departmental and program staff.

The roles and responsibilities of the Office of Research's component units include the following:

Office of Sponsored Programs

- drafting budgets and budget narratives;
- reviewing proposal text for clarity and completeness;
- clarifying regulatory guidelines and compliance requirements;
- facilitating and developing multi-investigator or multi-institutional proposals;
- assuring proposal compliance with University and Sponsor guidelines;
- authorizing applications and proposals submitted to sponsors;
- negotiating award agreements, contracts, and subcontracts;
- facilitating responsible use of and accountability for sponsor's funds; and
- negotiating and executing non-disclosure, materials transfer agreements and other instruments related to sponsored programs activities.

Office of Research Integrity and Protections

- enabling the University's strong commitment to the protection of humans and animals in research through practices that comply with all applicable regulations and accreditation standards;
- providing guidance and assistance with all aspects of the application process to faculty, staff and students engaged in research involving humans or animals;
- providing comprehensive administrative support for both the Institutional Review Board (IRB) and the Institutional Animal Care and Use Committee (IACUC); and
- working collaboratively with the Environmental Health Office and Microbiological Safety Program to address issues concerning biohazards in research.

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SECTION I SPONSORED PROGRAMS EXPENDITURES

Total sponsored programs expenditures since FY 2011 are summarized below by sponsor, federal agency, major university unit, and department and program. Expenditures for departments and programs are also presented elsewhere in this section as direct expenditures, and facilities and administrative expenditures.

Sponsored expenditures increased to a total of $76.2 million, a 16.9% increase as compared to FY 2014. Overall, the federal government remains the primary source of sponsored support, contributing $44.4 million or 58.3% of sponsored expenditures. New York State was the next major source of expenditures, at $8.6 million or 11.3% of the total expenditures. Corporations and Other State and County Government sponsors each accounted for $7.6 million in expenditures, or 20% of the total combined. Foundations/non-profit organizations sponsored support tallied $6.2 million or 8.1% of total expenditures. The remaining $1.8 million was from all other sources.

Increases in expenditures were noted from the Small Business Administration with an increase of 147.1%, Department of Health and Human Services showing an increase of 27.5% and the Department of Defense with a 22.2% increase over FY 2014. New York State expenditures also improved with an increase of 23.7% over FY 2014.

TOTAL SPONSORED PROGRAM EXPENDITURES

BY SPONSOR CATEGORY

(Millions of Dollars)

SPONSOR	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Federal	45.25	45.02	40.72	40.32	44.40
New York State	8.82	12.75	7.39	6.99	8.65
Other States and County Government	0.23	0.60	0.75	2.18	7.61
Corporations	4.44	5.83	7.38	6.95	7.57
Foundations and Non-Profits	7.94	6.53	4.48	6.06	6.18
All Other	1.60	2.06	1.67	2.68	1.78
TOTAL	**68.28**	**72.79**	**62.39**	**65.18**	**76.19**

BY FEDERAL AGENCY[1]

(Millions of Dollars)

FEDERAL AGENCY	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
National Science Foundation	11.68	13.56	14.02	15.27	15.84
Department of Defense	7.05	7.73	6.56	5.80	7.09
Department of Education	8.40	7.27	6.28	5.75	5.13
Department of Health & Human Services	8.44	4.76	2.92	3.66	4.66
Small Business Administration	0.98	1.46	1.24	1.40	3.46
Department of Energy	1.85	2.78	3.00	2.09	2.12
Department of State	1.68	1.93	2.27	2.05	1.88
National Comm on Libraries & Info Science	0.84	0.88	0.64	0.66	0.81
Department of the Interior	0.60	1.08	0.83	0.96	0.68
Environmental Protection Agency	1.80	1.16	0.59	0.49	0.53
All Other	1.93	2.41	2.37	2.18	2.20
TOTAL	**45.25**	**45.02**	**40.72**	**40.32**	**44.40**

[1] This table contains the Top Ten federal departments for the reported fiscal year. Additions of new agencies and deletions of previously reported agencies may result in prior year adjustments for all agencies.

TOTAL SPONSORED PROGRAM EXPENDITURES
by Major University Unit
(Dollars)

UNIT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Chancellor	1,507	46,588	21,792	0	0
Academic Affairs – VC[2] [3] [4] [5]	2,247,298	8,353,650	9,294,540	13,124,713	13,020,011
Veterans and Military Affairs[5]	-	-	-	-	10,989,101
Sr VP Human Capital Development	0	4,556	10,812	5,858	2,057
Business, Finance and Administrative Services	535,585	135,988	23,154	175,290	1,257,609
Enrollment Management	26,159	115,358	139,828	128,158	41,319
Graduate School	48,032	0	0	0	0
Information Technology and Services	0	0	0	496,149	164
Library	377,505	378,695	356,965	350,167	297,869
Office of Research[2] [3]	4,725,555	4,145,194	1,126,239	1,228,686	999,108
Student Affairs	12,252	2,441	200	0	0
Architecture	125,991	92,465	56,863	104,275	115,721
Athletics	27,790	-25,916	0	0	0
Arts and Sciences	15,966,205	15,086,714	13,069,124	14,733,830	16,988,470
Education	10,312,735	8,614,695	6,318,938	5,947,986	6,255,518
Engineering and Computer Science[3]	12,431,618	12,847,979	13,368,570	11,993,797	11,474,784
Falk College of Sport and Human Dynamics	431,135	284,349	349,104	740,338	856,996
Information Studies	4,147,455	7,037,486	4,725,790	3,282,166	2,753,552
Law	6,787,188	5,655,940	4,593,432	4,074,974	3,976,863
Maxwell School of Citizenship and Public Affairs	8,618,153	6,577,068	6,024,056	6,993,292	5,796,088
Newhouse School of Public Communications	951,469	861,817	574,160	512,190	761,577
University College (SUCE)	9,865	1,138	31,695	183,176	165,000
Visual and Performing Arts	21,138	11,607	29,240	51,028	106,798
Whitman School of Management[4]	4,440,633	5,666,149	4,967,018	4,074,814	3,443,927
TOTAL	**68,282,105**	**72,792,117**	**62,392,396**	**65,178,255**	**76,194,742**

[2] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[3] CASE and COE expenditures are also reported in the primary faculty units (e.g., EECS, Mech & Aero Eng) without double counting in totals.

[4] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[5] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs Office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

TOTAL SPONSORED PROGRAMS EXPENDITURES
by Department and Program
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CHANCELLOR	1,507	42,916	15,464	0	0
Archives & Records Management	0	3,672	6,328	0	0
Subtotal	***1,507***	***46,588***	***21,792***	***0***	***0***
ACADEMIC AFFAIRS – VC[6] [7] [8] [9]	1,322,898	1,851,452	1,136,166	1,141,965	1,240,835
Center Excellence Environmental & Energy Sys	-	-	1,870,447	2,016,522	2,275,548
Center for Public & Community Services	482	2,489	0	0	0
Center for Sustainable Community Solutions	-	-	990,438	1,191,110	876,326
Coalition of Museum & Art Centers	0	0	1,500	0	1,844
Community Engagement/Economic Dev	891,177	5,403,702	2,540,212	3,035,525	8,560,528
Executive Management	12,500	0	0	0	0
Imagining America	20,242	20,564	34,597	47,254	64,598
Institute for Veterans and Military Families	-	1,075,444	2,721,179	5,692,336	-
VP for Sustainability Initiatives	0	0	0	0	331
Subtotal	***2,247,298***	***8,353,650***	***9,294,540***	***13,124,173***	***13,020,011***
VETERAN AND MILITARY AFFAIRS[9]					
Institute for Veterans and Military Families	-	-	-	-	10,989,101
Subtotal	***-***	***-***	***-***	***-***	***10,989,101***
SR VP HUMAN CAPITAL DEVELOPMENT					
Human Resources	0	4,556	10,812	5,858	2,057
Subtotal	***0***	***4,556***	***10,812***	***5,858***	***2,057***
BUSINESS, FINANCE AND ADMINISTRATIVE SERVICES					
Design & Construction	535,585	135,988	23,154	175,290	1,257,609
Subtotal	***535,585***	***135,988***	***23,154***	***175,290***	***1,257,609***
ENROLLMENT MANAGEMENT	0	87,645	139,828	128,158	41,319
Admissions & Financial Aid	26,159	27,713	0	0	0
Subtotal	***26,159***	***115,358***	***139,828***	***128,158***	***41,319***
GRADUATE SCHOOL	***48,032***	***0***	***0***	***0***	***0***
INFORMATION TECHNOLOGY AND SERVICES					
Information Technology Service	0	0	0	496,149	164
Subtotal	***0***	***0***	***0***	***496,149***	***164***

[6] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[7] CASE and COE expenditures are also reported in the primary faculty units (e.g., EECS, Mech & Aero Eng) without double counting in totals.

[8] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management. In FY15, these services were moved to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

[9] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

TOTAL SPONSORED PROGRAMS EXPENDITURES
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
LIBRARY					
Access & Preservation Services	104,715	100,123	0	0	0
Access & Resource Sharing	-	-	11,105	109,181	145,790
Collection Management & Preservation	9,050	-147	75,665	0	0
Library Administration	11,220	148,518	194,445	168,016	0
Special Collections	252,520	130,201	71,085	68,976	152,037
Syracuse University Press	0	0	4,665	3,994	41
Subtotal	***377,505***	***378,695***	***356,965***	***350,167***	***297,869***
OFFICE OF RESEARCH[10] [11]					
CASE	1,554,988	880,548	895,905	1,046,383	945,264
Center Excellence Environmental & Energy Sys	3,154,060	3,239,619	-	-	-
Vice President for Research	16,506	25,027	230,334	182,303	53,844
Subtotal	***4,725,555***	***4,145,194***	***1,126,239***	***1,228,686***	***999,108***
STUDENT AFFAIRS					
Early Education & Child Care Center	10	-	-	-	-
Student Health Services	12,242	2,441	200	0	0
Subtotal	***12,252***	***2,441***	***200***	***0***	***0***
ARCHITECTURE	***125,991***	***92,465***	***56,863***	***104,275***	***115,721***
ATHLETICS	***27,790***	***-25,916***	***0***	***0***	***0***
ARTS & SCIENCES					
African American Studies	4,319	0	0	0	13,458
Art & Music Histories	0	51,622	20,594	72,927	1,947
Arts & Sciences – Dean	33,932	0	0	0	0
Biology	2,023,116	2,288,895	2,156,751	2,810,375	3,670,668
Chemistry	1,709,517	1,970,810	1,742,171	1,587,361	1,266,440
Communication Sciences & Disorders	142,498	353,173	399,330	388,581	681,241
Curriculum/Instruction	63,088	40,116	0	0	0
Earth Sciences	1,711,505	1,707,462	1,412,307	1,291,868	1,519,387
English	2,700	0	0	0	0
Forensic & National Security Sciences Institute	0	0	0	118,104	244,388
Honors Program	0	19,868	31,925	34,156	9,840
Humanities Center	47,420	101,368	236,527	273,170	211,377
Languages, Literatures & Linguistics	-653	49,776	59,557	57,434	70,207
Mathematics	705,339	678,744	635,969	554,034	419,459
Philosophy	0	0	0	0	137,444
Physics	5,787,736	6,227,551	5,574,034	6,477,263	7,370,390
Psychology	3,288,641	1,415,249	637,586	834,247	1,183,533
Religion	132,650	28,986	15,624	9,147	37,400
Science Teaching	314,397	153,095	146,747	225,164	150,186
Writing Program	0	0	0	0	1,105
Subtotal	***15,966,205***	***15,086,714***	***13,069,124***	***14,733,830***	***16,988,470***

[10] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.
[11] CASE and COE expenditures are also reported in the primary faculty units (e.g., EECS, Mech & Aero Eng) without double counting in totals.

TOTAL SPONSORED PROGRAMS EXPENDITURES
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
EDUCATION	806,732	157,245	48,096	32,576	17,115
Center on Human Policy	898,630	806,316	585,116	510,284	745,497
College Preparation Program	292,027	293,396	338,471	368,858	371,380
Content in Action Projects	270,823	239,780	0	0	0
Continuing Education & Global Outreach	6,918	25,000	0	0	0
Counseling & Human Services	46,673	55,048	9,459	0	0
CSTEP	293,396	263,322	283,791	345,441	381,401
Cultural Foundations of Education	323,032	259,685	207,275	227,404	272,233
Exercise Science	81,346	209,110	94,394	274,144	112,967
GEAR UP	863,269	116,097	0	0	0
Higher Education	13,681	-13,146	14,946	0	0
Instruction Design, Dev & Evaluation	145,634	97,136	170,938	12,171	0
LSAMP SOE Support[12]	0	0	0	34,989	35,011
McNair	209,110	238,948	196,774	192,255	268,081
Office of Professional Research & Dev	56,231	105,392	116,623	171,722	204,611
Partnership for Better Education	36,492	16,071	0	0	0
Professional Development (SOE)	0	0	0	0	34
Reading & Language Arts	303,503	226,795	329,742	390,115	402,819
Say Yes to Education	4,191,598	3,431,089	1,625,933	841,469	769,338
Supportive Services	359,055	407,970	342,244	388,976	394,133
Teaching & Leadership	1,124,859	1,679,442	1,955,137	2,157,583	2,280,897
Subtotal	***226,795***	***8,614,695***	***6,318,938***	***5,947,986***	***6,255,518***
ENGINEERING AND COMPUTER SCIENCE[13]					
Biomedical & Chemical Engineering	1,753,446	2,438,924	3,041,338	3,299,443	2,695,833
Civil & Environmental Engineering	1,497,440	1,260,536	1,274,575	1,183,035	1,305,561
Electrical Engineering & Computer Science	4,341,006	5,079,892	5,988,638	4,615,059	3,931,000
Mechanical & Aerospace Engineering	4,839,724	4,068,627	3,064,019	2,896,260	3,542,389
Subtotal	***12,431,618***	***12,847,979***	***13,368,570***	***11,993,797***	***11,474,784***
FALK COLLEGE OF SPORT AND HUMAN DYNAMICS					
Aging Studies Institute[14]	-	-	15,374	7,074	31,090
Child & Family Studies	23,173	7,638	0	11,375	86,192
Falk - Deans Office	39,221	48,593	22,090	319	0
Early Education & Childcare	15,127	214	0	0	0
Food Studies	0	0	0	42,266	16,782
Marriage & Family Therapy	51,267	0	0	0	0
Nutrition Science & Dietetics	52,516	31,076	18,003	0	29,003
Public Health	14,913	32,273	238,702	636,803	649,401
School of Social Work	234,919	163,563	53,802	42,502	44,529
Sport Management	-	992	1,134	0	0
Subtotal	***431,135***	***284,349***	***349,104***	***740,338***	***856,996***
INFORMATION STUDIES	***4,147,455***	***7,037,486***	***4,725,790***	***3,282,166***	***2,753,552***
LAW	65,904	108,134	66,885	58,279	26,067
BBI: Centers on Innovation & Disability	6,297,379	5,172,391	4,108,471	3,578,498	3,337,590
Law Instruction	423,905	375,415	418,076	438,196	613,206
Subtotal	***6,787,188***	***5,655,940***	***4,593,432***	***4,074,974***	***3,976,863***

[12] Data on the NSF LSAMP award can be found under Academic Affairs – VC Office.
[13] CASE and COE expenditures are also reported in the primary faculty units (e.g., EECS, Mech & Aero Eng) without double counting in totals.
[14] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.

TOTAL SPONSORED PROGRAMS EXPENDITURES
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS					
Aging Studies Institute[15]	-	-	478,305	604,584	339,944
Anthropology	20,336	11,247	117,164	102,729	154,178
Campbell Institute	188,606	90,465	130,115	282,422	137,961
Center for Environmental Policy & Admin	42,891	6,922	9,328	2,953	0
Center for Policy Research	3,667,284	1,471,700	808,615	451,090	488,121
Center for Technology Info Policy	0	0	0	0	6,728
Computing & Technology – Maxwell	245	0	0	0	0
Economics	29,529	0	0	0	0
Geography	449,743	354,454	166,625	104,932	202,789
Gerontology Center	207,050	291,562	-	-	-
History	0	0	49,910	79,075	90,002
International Relations	0	0	0	29,176	8,161
Maxwell – Dean	305,561	334,106	21,089	64,320	161,758
Mid-Career & Executive Education	2,633,257	3,016,582	3,375,961	3,932,748	2,804,428
Moynihan Institute of Global Affairs	934,133	976,039	790,047	1,128,712	1,104,230
PARC-Analysis & Resolution of Conflict	54,239	15,000	2,649	46,432	65,940
Political Science	0	6,827	54,247	143,438	196,038
Public Administration	2,750	0	0	0	0
Public Affairs	7,242	0	0	0	0
Sociology	75,286	2,164	20,000	20,684	35,811
Subtotal	***8,618,153***	***6,577,068***	***6,024,056***	***6,993,292***	***5,796,088***
NEWHOUSE SCHOOL OF PUBLIC COMMUNICATIONS					
Public Communications	951,469	861,817	574,160	512,190	588,244
TRAC - Newhouse[16]	-	-	-	0	173,332
Subtotal	***951,469***	***861,817***	***574,160***	***512,190***	***761,577***
UNIVERSITY COLLEGE (SUCE)					
General Administration	-43,974	2,446	1,740	0	0
Summer Support & Retention	0	0	2,088	162,912	165,000
TED Center	53,839	-1,307	27,868	20,264	0
Subtotal	***9,865***	***1,138***	***31,695***	***183,176***	***165,000***
VISUAL AND PERFORMING ARTS	0	0	0	108	95,382
Art & Design	19,151	11,607	-246	49,661	0
Communications & Rhetorical Studies	0	0	29,486	0	0
Music	1,988	0	0	1,258	11,416
Subtotal	***21,138***	***11,607***	***29,240***	***51,028***	***106,798***
WHITMAN SCHOOL OF MANAGEMENT[17]	720,031	1,205,832	1,283,383	451,004	77,768
Army Comptrollership Program	2,827,834	2,963,549	2,154,071	2,525,653	2,915,423
Entrepreneurship Program	697,483	1,225,650	1,234,872	699,639	354,102
TRAC Program	195,285	271,118	294,693	398,518	96,635
Subtotal	***4,440,633***	***5,666,149***	***4,967,018***	***4,074,814***	***3,443,927***
TOTAL	**68,282,105**	**72,792,117**	**62,392,396**	**65,178,255**	**76,194,742**

[15] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.
[16] In FY14, the TRAC program became an interdisciplinary program between the Whitman School and the Newhouse School.
[17] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

FACILITIES AND ADMINISTRATIVE AND FRINGE BENEFIT RECOVERIES

Facilities and administrative (F&A) and fringe benefit recoveries combined showed a 6.2% increase in FY 2015 compared to FY 2014. F&A recovery was $10.5 million, a 9.7% increase from FY 2014, while fringe benefit recovery was a steady $5.3 million.

The F&A rates for FY 2015 were 48.0% for on-campus research, and 32.0% for on-campus instruction/training activities, and 33.0% for other sponsored activities. The off-campus rate for research, training and other sponsored activities was 26.0%.

The fringe benefit rates, which are adjusted annually, vary with the University's five employment classifications: faculty-academic year, full time and regular part time staff: 30.3% (down from 31.9%); faculty-summer: 17.3% (up from 17.2%); and temporary workers and extra service or overload: 8.3% (down from 9.1%). Graduate assistant fringe benefit rates are also categorized by sponsor type: 16.0% for federal, and 53.0%, primarily for non-profit sponsors.

TOTAL RECOVERY

(Thousands of Dollars)

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Total Recovery	15,792	16,984	14,606	14,857	15,785
Facilities & Administration	10,104	10,385	9,244	9,576	10,505
Fringe Benefits	5,688	6,599	5,362	5,281	5,280

FACILITIES AND ADMINISTRATIVE COST EXPENDITURES
by Department & Program
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CHANCELLOR	0	0	428	0	0
Archives & Records Management	0	0	0	0	0
Subtotal	***0***	***0***	***428***	***0***	***0***
ACADEMIC AFFAIRS – VC[18] [19] [20]	96,359	193,896	194,299	201,518	229,163
Center Excellence Environmental & Energy Sys	-	-	334,388	349,268	270,180
Center for Public & Community Services	0	0	0	0	0
Center for Sustainable Community Solutions	-	-	158,868	176,861	155,994
Coalition of Museum & Art Centers	0	0	0	0	0
Community Engagement/Economic Dev	0	0	0	0	0
Executive Management	0	0	0	0	0
Imagining America	0	0	0	0	0
Institute for Veterans and Military Families	-	0	64,861	704,578	-
VP for Sustainability Initiatives	0	0	0	0	0
Subtotal	***96,359***	***193,896***	***752,416***	***1,432,225***	***655,337***
VETERAN AND MILITARY AFFAIRS[20]					
Institute for Veterans and Military Families	-	-	-	-	1,271,048
Subtotal	***-***	***-***	***-***	***-***	***1,271,048***
SR VP HUMAN CAPITAL DEVELOPMENT					
Human Resources	0	0	0	0	0
Subtotal	***0***	***0***	***0***	***0***	***0***
BUSINESS, FINANCE AND ADMINISTRATIVE SERVICES					
Design & Construction	0	0	0	0	0
Subtotal	***0***	***0***	***0***	***0***	***0***
ENROLLMENT MANAGEMENT	0	11,432	18,239	16,716	5,389
Admissions & Financial Aid	8,242	8,731	0	0	0
Subtotal	***8,242***	***20,164***	***18,239***	***16,716***	***5,389***
GRADUATE SCHOOL	***10,360***	***0***	***0***	***0***	***0***
INFORMATION TECHNOLOGY AND SERVICES					
Information Technology Service	0	0	0	693	53
Subtotal	***0***	***0***	***0***	***693***	***53***

[18] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[19] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[20] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

FACILITIES AND ADMINISTRATIVE COST EXPENDITURES
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
LIBRARY					
Access & Preservation Services	0	0	0	0	0
Access & Resource Sharing	-	-	0	0	0
Collection Management & Preservation	670	-11	0	0	0
Library Administration	0	0	0	0	0
Special Collections	24,803	5,632	0	14,231	30,151
Syracuse University Press	0	0	0	0	0
Subtotal	***25,474***	***5,621***	***0***	***14,231***	***30,151***
OFFICE OF RESEARCH[21]					
CASE	127,760	66,857	60,618	70,395	87,264
Center Excellence Environmental & Energy Sys	391,411	339,522	-	-	0
Vice President for Research	0	0	4,152	0	0
Subtotal	***519,172***	***406,379***	***64,770***	***70,395***	***87,264***
STUDENT AFFAIRS					
Early Education & Child Care Center	-	-	-	-	0
Student Health Services	0	0	0	0	0
Subtotal	***0***	***0***	***0***	***0***	***0***
ARCHITECTURE	***15,138***	***6,255***	***7,963***	***6,889***	***12,729***
ATHLETICS	***0***	***0***	***0***	***0***	***0***
ARTS AND SCIENCES					
African American Studies	0	0	0	0	0
Art & Music Histories	0	0	0	0	0
Arts & Sciences – Dean	0	0	0	0	0
Biology	527,185	649,036	593,709	795,514	1,019,296
Chemistry	368,791	355,745	256,373	295,189	232,466
Communication Sciences & Disorders	32,846	80,104	48,446	44,372	146,883
Curriculum/Instruction	0	100	0	0	0
Earth Sciences	299,992	355,967	270,777	303,788	350,656
English	0	0	0	0	0
Forensic & National Security Sciences Institute	0	0	0	38,304	78,649
Honors Program	0	0	0	0	0
Humanities Center	0	0	0	0	0
Languages, Literatures & Linguistics	0	9,728	14,390	13,485	14,538
Mathematics	189,368	173,525	189,265	152,865	126,548
Philosophy	0	0	0	0	9,841
Physics	1,267,998	1,497,714	1,352,430	1,465,962	1,508,397
Psychology	809,919	308,479	117,896	178,877	267,822
Religion	0	1,244	3,203	1,599	0
Science Teaching	63,028	13,268	0	0	1,715
Writing Program	0	0	0	0	0
Subtotal	***3,559,128***	***3,444,910***	***2,846,488***	***3,289,956***	***3,756,811***

[21] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

FACILITIES AND ADMINISTRATIVE COST EXPENDITURES
by Department & Program
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
EDUCATION	24,045	14,295	2,880	2,254	1,556
Center on Human Policy	66,006	56,765	43,084	41,409	96,997
College Preparation Program	20,136	20,530	24,939	26,823	26,645
Content in Action Projects	13,913	6,535	0	0	0
Continuing Education & Global Outreach	2	0	0	0	0
Counseling & Human Services	3,324	3,840	701	0	0
CSTEP	14,229	17,176	19,556	23,732	26,467
Cultural Foundations of Education	21,757	17,421	17,450	20,280	19,883
Exercise Science	17,343	55,159	8,862	44,927	17,430
GEAR UP	58,760	8,600	0	0	0
Higher Education	4,311	0	0	0	0
Instructional Design, Dev & Evaluation	-15,977	24,628	22,742	1,921	0
LSAMP SOE Support[22]	0	0	0	0	0
McNair	12,733	12,773	10,909	10,218	16,169
Office of Professional Research & Dev	2,511	4,279	2,514	4,936	3,968
Partnership for Better Education	0	1,190	0	0	0
Professional Development	0	0	0	0	0
Reading & Language Arts	21,478	16,281	24,101	30,528	30,248
Say Yes to Education	664,003	525,980	239,827	122,497	78,274
Supportive Services	24,628	25,813	25,277	25,961	26,411
Teaching & Leadership	75,929	144,426	127,122	131,721	155,343
Subtotal	***1,029,129***	***955,693***	***569,964***	***487,207***	***499,391***
ENGINEERING AND COMPUTER SCIENCE					
Biomedical & Chemical Engineering	372,062	561,155	661,943	665,348	647,498
Civil & Environmental Engineering	301,273	316,400	338,603	294,316	302,447
Electrical Engineering & Computer Science	728,996	933,140	1,113,130	778,025	760,616
Mechanical & Aerospace Engineering	607,541	472,839	347,364	242,287	359,508
Subtotal	***2,009,873***	***2,283,535***	***2,461,040***	***1,979,976***	***2,070,069***
FALK COLLEGE OF SPORT AND HUMAN DYNAMICS					
Aging Studies Institute[23]	-	-	4,844	2,229	9,188
Child & Family Studies	7,301	2,406	0	2,347	26,011
Falk - Deans Office	3,426	4,287	1,877	29	0
Early Education & Childcare	0	0	0	0	0
Food Studies	0	0	0	0	308
Marriage & Family Therapy	3,798	0	0	0	0
Nutrition Science & Dietetics	3,896	5,178	2,500	0	5,045
Public Health	853	3,375	44,850	168,072	147,676
School of Social Work	22,604	13,560	3,818	815	902
Sport Management	-	205	0	0	0
Subtotal	***41,877***	***29,012***	***57,890***	***173,492***	***189,129***
INFORMATION STUDIES	***645,916***	***1,036,205***	***738,127***	***537,156***	***519,110***
LAW	0	2,061	0	0	0
BBI: Centers on Innovation & Disability	757,292	593,636	433,547	409,073	375,210
Law Instruction	40,907	31,237	55,661	56,808	71,548
Subtotal	***798,199***	***626,934***	***489,207***	***465,881***	***446,758***

[22] Data on the NSF LSAMP award can be found under Academic Affairs – VC Office.
[23] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.

FACILITIES AND ADMINISTRATIVE COST EXPENDITURES
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS					
Aging Studies Institute[24]	-	-	108,774	87,476	77,789
Anthropology	185	462	915	-1,744	8,075
Campbell Institute	13,362	8,047	18,781	48,347	14,199
Center for Environmental Policy & Admin	13,514	2,181	2,824	0	0
Center for Policy Research	360,432	242,363	139,124	89,623	106,717
Center for Technology Info Policy	0	0	0	0	0
Computing & Technology – Maxwell	0	0	0	0	0
Economics	923	0	0	0	0
Geography	86,770	83,332	33,130	9,025	27,472
Gerontology Center	56,166	82,165	-	-	-
History	0	0	0	7,123	8,108
International Relations	0	0	0	0	0
Maxwell – Dean	22,670	24,748	1,562	11,361	25,920
Mid-Career & Executive Education	360,421	383,653	397,300	424,190	220,408
Moynihan Institute of Global Affairs	50,743	55,313	34,278	68,342	87,747
PARC-Analysis & Resolution of Conflict	6,745	0	0	5,256	11,247
Political Science	0	0	12,497	30,719	32,897
Public Administration	0	0	0	0	0
Public Affairs	0	0	0	0	0
Sociology	10,820	0	0	6,327	11,614
Subtotal	***982,750***	***882,265***	***749,185***	***786,044***	***632,192***
NEWHOUSE SCHOOL OF PUBLIC COMMUNICATIONS					
Public Communications	143,740	158,909	122,193	124,655	121,845
TRAC - Newhouse[25]	-	-	-	0	12,670
Subtotal	***143,740***	***158,909***	***122,193***	***124,655***	***134,515***
UNIVERSITY COLLEGE (SUCE)					
General Administration	0	0	0	0	0
Summer Support & Retention	0	0	0	0	0
TED Center	1,414	-14	0	0	0
Subtotal	***1,414***	***-14***	***0***	***0***	***0***
VISUAL AND PERFORMING ARTS	0	0	0	0	22,238
Art & Design	0	0	0	10,248	0
Communication & Rhetorical Studies	0	0	9,563	0	0
Music	0	0	0	0	0
Subtotal	***0***	***0***	***9,563***	***10,248***	***22,238***
WHITMAN SCHOOL OF MANAGEMENT[26]	97,023	166,320	203,326	29,574	2,307
Army Comptrollership Program	107,436	148,582	121,871	120,345	142,880
Entrepreneurship Program	0	0	7,727	6,098	28,560
TRAC Program[25]	13,205	20,000	23,755	24,260	-913
Subtotal	***217,663***	***334,902***	***356,679***	***180,277***	***172,834***
TOTAL	**10,104,432**	**10,384,664**	**9,244,150**	**9,576,041**	**10,505,018**

[24] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.
[25] In FY14, the TRAC program became an interdisciplinary program between the Whitman School and the Newhouse School.
[26] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

SECTION II TUITION EXPENDITURES

Tuition expenditures from all sources are presented since FY 2011. Remitted tuition and trainee tuition plus fees for graduate students can be (i) directly charged to sponsored programs, (ii) contributed by the University, or (iii) partially recovered using the higher fringe benefit rate on non-federal projects. In FY 2015, tuition expenditures were $4.2 million, the same as the prior fiscal year. Sponsor contributions from direct tuition charges to grants shown below were $3.7 million: 89.0% of total tuition expenditures. The University contributed $0.5 million in tuition support, a 26.9% increase from FY 2014.

Total Tuition

(Dollars)

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Total Tuition	4,190,353	4,708,682	4,069,722	4,196,613	4,206,382
Sponsored	3,434,917	3,816,374	3,579,580	3,832,013	3,743,524
Institutional	755,436	892,308	490,142	364,600	462,857

Sponsor Awarded

(Dollars)

UNIT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Chancellor	0	0	0	0	0
Academic Affairs – VC[27] [28]	12,500	15,196	2,810	7,764	8,046
Veterans and Military Affairs[28]	0	0	0	0	0
Sr VP Human Capital Development	0	0	0	0	0
Business, Finance and Administrative Services	0	0	0	0	0
Enrollment Management	0	0	0	0	0
Graduate School	0	0	0	0	0
Information Technology and Services	0	0	0	0	0
Library	0	0	0	0	0
Office of Research[27]	9,412	0	0	0	0
Student Affairs	0	0	0	0	0
Architecture	0	0	0	0	0
Athletics	0	0	0	0	0
Arts and Sciences	225,478	256,854	394,491	441,594	388,527
Education	373,326	211,712	356,604	512,109	413,021
Engineering and Computer Science	265,185	335,020	339,094	325,022	228,200
Falk College of Sport and Human Dynamics	0	0	0	23,292	6,072
Information Studies	596,274	721,383	587,448	556,041	672,478
Law	0	0	0	0	0
Maxwell School of Citizenship and Public Affairs	405,513	441,008	405,257	320,341	286,951
Newhouse School of Public Communications	2,337	7,236	0	0	0
University College (SUCE)	0	0	0	0	0
Visual and Performing Arts	0	0	0	0	0
Whitman School of Management	1,544,892	1,827,966	1,493,875	1,645,850	1,740,230
TOTAL	**3,434,917**	**3,816,374**	**3,579,580**	**3,832,013**	**3,743,524**

[27] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[28] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

SECTION III AWARD ACTIVITY

Awards received for all schools and departments are presented since FY 2011, and are summarized by sponsor, federal agency, major university unit, department and program.

Awards totaled $86.7 million in FY 2015, a 29.3% increase from FY 2014. The federal government awarded $51.7 million or 59.6% of total awards, a 12.7% increase from FY 2014. The NSF was the primary federal sponsor, issuing $20.2 million in awards, a 16.0% increase over FY 2014 awards. The second largest sponsor, the Department of Defense, issued $7.2 million in awards, a 5.6% decrease from FY 2014. New York State awarded $9.9 million, 102.4% increase over FY 2014 awards. Non-federal awards totaled $35.0 million.

In FY 2015, the Office of Sponsored Programs received 510 awards, a 7.1% increase from FY 2014. This year's average award size was $168,386, up from $140,893, and the median award was $60,980 up from $60,000.

AWARDS RECEIVED
by Sponsor Category
(Millions of Dollars)

SPONSOR	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Federal	49.41	45.44	43.35	45.86	51.68
Foundations and Non-Profits	12.92	4.66	8.71	4.98	12.19
New York State	14.09	5.28	12.39	4.90	9.92
Corporations	5.26	13.41	9.43	7.82	9.83
Other State/Governmental	0.49	0.99	0.61	0.68	1.63
All Other	1.04	0.64	1.48	2.81	1.47
TOTAL	**83.21**	**70.42**	**75.96**	**67.07**	**86.72**

BY FEDERAL SPONSOR[29]
(Millions of Dollars)

SPONSOR	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
National Science Foundation	13.96	15.84	18.19	17.44	20.23
Department of Defense	7.71	8.36	6.21	7.64	7.21
Department of Health & Human Services	5.57	5.31	3.22	3.95	5.44
Department of Energy	4.36	2.20	1.53	1.21	5.11
Department of Education	8.84	6.77	6.17	5.34	4.86
Small Business Administration	2.88	0.46	0.63	3.49	4.55
Environmental Protection Agency	0.99	0.35	0.56	0.16	0.85
Department of Agriculture	0.29	0.49	0.17	0.44	0.79
Department of Justice	0.00	0.00	0.00	0.00	0.70
Department of State	1.47	1.08	2.10	3.44	0.51
All Other Federal	3.34	4.58	4.57	2.75	1.45
TOTAL	**49.41**	**45.44**	**43.35**	**45.86**	**51.68**

AWARD COUNT

TYPE	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
New	252	240	259	268	333
Continuing/Non-competing	188	204	192	208	177
TOTAL	440	444	451	476	510

[29] This table contains the Top Ten federal departments for the reported fiscal year. Additions of new agencies and deletions of previously reported agencies may result in prior year adjustments for all agencies.

AWARDS RECEIVED
by Major University Unit
(Dollars)

UNIT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Chancellor	7,000	68,900	0	15,000	0
Academic Affairs – VC[30] [31] [32]	1,521,472	9,779,205	16,045,064	12,628,159	6,100,651
Veteran and Military Affairs[32]	0	0	0	0	17,414,761
Sr VP Human Capital Development	0	16,347	0	16,180	0
Business, Finance and Administrative Services	500,000	0	1,350,000	0	0
Enrollment Management	449,269	0	0	0	0
Graduate School	81,718	0	0	0	0
Information Technology and Services	0	0	0	498,452	0
Library	771,100	98,593	385,444	109,686	144,337
Office of Research[30]	3,096,155	1,697,562	3,004,156	41,000	959,700
Student Affairs	20,503	0	0	0	1,000
Associate Provost Acad Programs	0	0	0	0	12,000
Architecture	135,000	0	93,500	90,000	52,435
Athletics	15,000	0	0	0	0
Arts and Sciences	15,222,447	15,250,939	13,446,900	19,142,577	21,546,004
Education	17,883,659	8,753,004	5,807,466	5,226,325	7,640,109
Engineering and Computer Science	14,722,985	10,745,026	9,579,761	8,202,790	14,795,630
Falk College of Sport and Human Dynamics	178,342	277,290	750,134	1,008,827	1,570,991
Information Studies	7,509,148	6,290,774	5,784,847	2,571,472	1,786,201
Law	4,120,904	5,468,584	7,879,631	4,529,317	2,732,707
Maxwell School of Citizenship and Public Affairs	8,724,959	5,048,391	5,922,455	7,733,476	6,395,383
Newhouse School of Public Communications	382,375	1,159,530	672,778	982,612	1,461,151
University College (SUCE)	550,003	397,641	215,000	463,691	480,900
Visual and Performing Arts	0	0	87,867	7,550	176,656
Whitman School of Management[31]	7,315,174	5,372,574	4,937,606	3,798,123	3,448,198
TOTAL	**83,207,213**	**70,424,360**	**75,962,609**	**67,065,237**	**86,718,814**

[30] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[31] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[32] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

AWARDS RECEIVED
by Department & Program
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CHANCELLOR	7,000	58,900	0	15,000	0
Archives & Records Management	0	10,000	0	0	0
Subtotal	***7,000***	***68,900***	***0***	***15,000***	***0***
ACADEMIC AFFAIRS – VC[33] [34] [35]	1,493,472	1,244,205	3,331,718	1,103,285	563,607
Center Excellence Environmental & Energy Sys	-	-	6,221,496	1,790,426	3,673,772
Center for Public & Community Services	3,000	0	0	0	0
Center for Sustainable Community Solutions	-	-	1,349,489	664,486	1,324,109
Coalition of Museum & Art Centers	0	0	1,500	0	26,942
Comm Engagement/Economic Development	0	600,000	0	0	464,000
Imagining America	25,000	150,000	60,000	18,000	4,000
Institute for Veterans and Military Families	-	7,785,000	5,080,861	9,051,962	-
VP for Sustainability Initiatives	0	0	0	0	44,221
Subtotal	***1,521,472***	***9,779,205***	***16,045,064***	***12,628,159***	***6,100,651***
VETERAN AND MILITARY AFFAIRS[35]					
Institute for Veterans and Military Families	-	-	-	-	17,414,761
Subtotal	***-***	***-***	***-***	***-***	***17,414,761***
SR VP HUMAN CAPITAL DEVELOPMENT					
Human Resources	0	16,347	0	16,180	0
Subtotal	***0***	***16,347***	***0***	***16,180***	***0***
BUSINESS, FINANCE AND ADMINISTRATIVE SERVICES					
Design & Construction	500,000	0	1,350,000	0	0
Subtotal	***500,000***	***0***	***1,350,000***	***0***	***0***
ENROLLMENT MANAGEMENT	***449,269***	***0***	***0***	***0***	***0***
GRADUATE SCHOOL	***81,718***	***0***	***0***	***0***	***0***
INFORMATION TECHNOLOGY AND SERVICES					
Information Technology Service	0	0	0	498,452	0
Subtotal	***0***	***0***	***0***	***498,452***	***0***
LIBRARY					
Access & Resource Sharing	0	0	0	105,686	133,937
Library Administration	663,100	0	100,744	0	0
Special Collections	108,000	98,593	280,000	0	10,400
Syracuse University Press	0	0	4,700	4,000	0
Subtotal	***771,100***	***98,593***	***385,444***	***109,686***	***144,337***

[33] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[34] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[35] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

AWARDS RECEIVED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
OFFICE OF RESEARCH[36]					
CASE	237,679	0	2,763,600	41,000	959,700
Center Excellence Environmental & Energy Sys	2,858,476	1,697,562	-	-	-
Office of Research	0	0	240,556	0	0
Subtotal	***3,096,155***	***1,697,562***	***3,004,156***	***41,000***	***959,700***
STUDENT AFFAIRS					
Recreation Services	0	0	0	0	1,000
Student Health Services	20,503	0	0	0	0
Subtotal	***20,503***	***0***	***0***	***0***	***1,000***
ASSOCIATE PROVOST ACAD PROGRAMS					
Project Advance	0	0	0	0	12,000
Subtotal	***0***	***0***	0	***0***	***12,000***
ARCHITECTURE	***135,000***	***0***	***93,500***	***90,000***	***52,435***
ATHLETICS	***15,000***	***0***	***0***	***0***	***0***
ARTS AND SCIENCES					
African American Studies	0	0	0	0	309,926
Art and Music Histories	25,000	50,000	75,400	25,000	0
Arts & Sciences – Dean	5,000	0	0	0	7,550
Biology	1,650,253	3,761,158	2,382,871	4,164,742	3,585,141
Chemistry	1,746,375	1,435,658	1,197,939	2,893,247	1,235,049
Communication Sciences & Disorders	139,092	368,604	390,419	392,241	861,055
Curriculum/Instruction	0	1,200	0	0	0
Earth Sciences	1,437,443	1,560,462	1,760,989	1,465,057	3,705,854
Forensic & National Security Sciences Institute	0	0	0	155,777	412,286
Honors Program	96,500	0	0	0	0
Humanities Center	1,060,000	0	0	0	300,000
Languages, Literatures & Linguistics	53,185	71,326	59,429	84,571	97,956
Mathematics	568,952	563,047	760,678	608,410	351,745
Philosophy	0	0	0	0	139,845
Physics	5,322,318	6,635,697	5,762,608	8,037,211	8,509,924
Psychology	2,961,126	776,848	1,056,567	1,313,768	1,737,929
Religion	157,203	26,939	0	2,553	57,500
Science Teaching	0	0	0	0	34,690
Women's and Gender Studies	0	0	0	0	190,074
Writing Program	0	0	0	0	9,480
Subtotal	***15,222,447***	***15,250,939***	***13,446,900***	***19,142,577***	***21,546,004***

[36] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

AWARDS RECEIVED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
EDUCATION	1,492,044	100,000	51,000	0	0
Center on Human Policy	899,066	922,440	323,761	908,033	873,835
College Preparation Program	338,545	748,935	1,135,635	0	396,977
Continuing Education & Global Outreach	25,000	0	0	0	0
Counseling & Human Services	5,000	58,804	0	0	0
CSTEP	334,441	369,574	0	0	392,086
Cultural Foundations of Education	145,083	0	0	9,000	124,885
Exercise Science	59,261	111,232	618,415	29,908	20,522
HEOP	1,661,717	1,042,224	0	942,687	1,170,400
Higher Education	0	1,800	0	0	0
Instructional Design, Dev & Evaluation	91,974	0	0	0	0
LSAMP SOE Support[37]	0	0	0	70,000	0
McNair	231,440	224,265	231,440	219,336	231,440
Office of Professional Research & Dev	63,000	236,900	148,850	488,500	220,173
Partnership for Better Education	85,000	16,254	0	0	0
Reading & Language Arts	486,457	250,227	465,149	256,760	447,419
Say Yes to Education	8,270,009	1,716,913	221,111	969,543	804,541
Supportive Services	407,082	346,012	396,007	377,911	396,000
Teaching & Leadership	3,288,540	2,607,424	2,216,098	954,647	2,561,831
Subtotal	***17,883,659***	***8,753,004***	***5,807,466***	***5,226,325***	***7,640,109***
ENGINEERING AND COMPUTER SCIENCE					
Biomedical & Chemical Engineering	3,192,010	4,198,637	4,204,190	1,921,987	3,626,789
Civil & Environmental Engineering	1,655,384	2,072,477	728,201	1,830,267	2,347,327
Electrical Engineering & Computer Science	7,296,540	3,077,537	3,747,205	3,108,568	4,208,559
Mechanical & Aerospace Engineering	2,579,051	1,396,375	900,165	1,341,968	4,612,955
Subtotal	***14,722,985***	***10,745,026***	***9,579,761***	***8,202,790***	***14,795,630***
FALK COLLEGE OF SPORT AND HUMAN DYNAMICS					
Aging Studies Institute	-	-	0	0	740,070
Child & Family Studies	23,309	0	0	12,072	124,111
Early Education & Childcare	16,800	0	0	0	0
Food Studies	0	0	0	59,559	107,903
Nutrition Science & Dietetics	32,233	0	0	0	47,631
Public Health	9,800	112,890	628,534	880,997	545,076
School of Social Work	96,200	161,200	121,600	56,199	6,200
Sport Management	-	3,200	0	0	0
Subtotal	***178,342***	***277,290***	***750,134***	***1,008,827***	***1,570,991***
INFORMATION STUDIES	***7,509,148***	***6,290,774***	***5,784,847***	***2,571,472***	***1,786,201***
LAW	268,001	157,634	7,500	2,400	0
BBI: Centers on Innovation & Disability	3,657,709	5,130,800	6,826,631	4,289,417	2,286,327
Law Instruction	195,194	180,150	1,045,500	237,500	446,380
Subtotal	***4,120,904***	***5,468,584***	***7,879,631***	***4,529,317***	***2,732,707***

[37] Data on the NSF LSAMP award can be found under Academic Affairs – VC Office.

AWARDS RECEIVED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS					
Aging Studies Institute[38]	-	-	49,151	251,580	1,208,013
Anthropology	779,297	71,282	166,025	249,737	101,308
Campbell Institute	0	326,941	8,246	13,700	140,422
Center for Policy Research	4,379,217	1,712,086	893,306	159,245	789,185
Ctr for Technology Info Policy	0	0	0	0	15,000
Economics	25,001	353,944	0	0	46,470
Geography	162,954	31,000	55,268	263,732	220,140
History	0	50,000	0	286,239	85,500
International Relations	0	0	0	29,840	7,500
Maxwell – Dean	649,928	0	143,000	25,000	118,333
Mid-Career & Executive Education	1,486,990	1,020,800	2,777,928	5,322,384	1,186,591
Moynihan Institute of Global Affairs	659,235	1,382,815	870,863	918,347	2,268,251
PARC-Analysis & Resolution of Conflict	0	20,015	0	85,376	193,170
Political Science	22,101	16,825	377,903	62,485	15,500
Public Administration	548,149	0	123,495	0	0
Sociology	12,087	62,683	457,270	65,811	0
Subtotal	***8,724,959***	***5,048,391***	***5,922,455***	***7,733,476***	***6,395,383***
NEWHOUSE SCHOOL OF PUBLIC COMMUNICATIONS					
Public Communications	382,375	1,159,530	672,778	748,642	1,153,967
TRAC - Newhouse[39]	-	-	-	233,970	307,184
Subtotal	***382,375***	***1,159,530***	***672,778***	***982,612***	***1,461,151***
UNIVERSITY COLLEGE (SUCE)					
General Administration	2,500	4,583	0	0	0
English Language Institute	0	0	0	0	178,500
HEOP-SUCE	492,378	313,143	0	283,236	302,400
Summer Support & Retention	0	0	165,000	165,000	0
TED Center	55,125	79,915	50,000	15,455	0
Subtotal	***550,003***	***397,641***	***215,000***	***463,691***	***480,900***
VISUAL AND PERFORMING ARTS	0	0	58,375	3,050	114,644
Communication & Rhetorical Studies	0	0	29,492	0	0
Music	0	0	0	4,500	62,012
Subtotal	***0***	***0***	***87,867***	***7,550***	***176,656***
WHITMAN SCHOOL OF MANAGEMENT[40]	822,630	1,768,998	598,550	175,800	167,648
Army Comptrollership	3,567,544	2,932,429	2,633,905	3,333,832	2,687,848
Entrepreneurship Program	2,650,000	373,367	1,192,063	211,711	584,886
Retail Management & Consumer Studies	0	1,500	0	0	0
TRAC Program[39]	275,000	296,280	513,088	76,780	7,816
Subtotal	***7,315,174***	***5,372,574***	***4,937,606***	***3,798,123***	***3,448,198***
TOTAL	**83,207,213**	**70,424,360**	**75,962,609**	**67,045,237**	**86,718,814**

[38] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.

[39] In FY14, the TRAC program became an interdisciplinary program between the Whitman School and the Newhouse School. Proposal and award figures are reflected in the units where the projects were generated. Totals can be derived by combining TRAC from both colleges.

[40] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

SECTION IV PROPOSAL ACTIVITY

The dollar volume submitted by all schools and departments since FY 2011 is presented on the following pages.

There were 634 proposals submitted in FY 2015, compared to the 617 submitted in FY 2014. Proposal dollars requested in FY 2015 totaled $255.9 million, a 3.3% decrease as compared to FY 2014. This year's average proposal amount was $403,586, down from $428,882 and the median proposal amount was $150,000, exactly the same as FY 2014.

PROPOSALS SUBMITTED
(Count)

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Number	539	610	604	617	634

PROPOSALS SUBMITTED
by Major University Unit
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Chancellor	0	59,900	199,318	233,800	0
Academic Affairs – VC[41 42 43]	895,018	4,912,716	39,798,628	24,807,079	6,878,500
Veteran and Military Affairs[43]	-	-	-	-	23,523,630
Sr VP Human Capital Development	23,752	0	186,402	5,000	18,376
Business, Finance and Administrative Services	500,000	0	2,850,000	0	2,500,000
Enrollment Management	449,269	0	0	0	0
Graduate School	0	0	0	13,500	0
Information Technology and Services	0	417,170	498,452	0	396,098
Library	807,009	438,987	507,696	18,600	173,400
Office of Research[41]	8,879,383	11,126,751	129,194	33,000	4,606,000
Institutional Advancement	50,000	68,112	49,657	0	0
Associate Provost Acad Programs	0	0	0	0	12,000
Architecture	214,957	563,104	113,750	180,695	97,920
Arts and Sciences	79,926,956	76,817,606	84,153,011	120,921,513	86,628,394
Education	18,832,007	13,372,687	34,945,675	9,278,346	11,861,249
Engineering and Computer Science	32,347,228	51,249,626	64,297,154	46,918,813	60,567,162
Falk College of Sport and Human Dynamics	7,872,675	6,880,067	5,603,131	6,321,095	9,551,480
Information Studies	14,503,890	15,947,329	26,573,113	22,499,213	10,987,541
Law	41,140,046	16,724,128	8,477,017	12,295,971	11,172,355
Maxwell School of Citizenship and Public Affairs	16,055,436	10,843,439	14,689,615	18,114,723	15,697,569
Newhouse School of Public Communications	944,944	1,668,472	175,000	905,603	6,446,251
University College (SUCE)	26,056	298,818	606,357	367,400	319,763
Visual and Performing Arts	57,519	414,403	100,311	93,731	160,000
Whitman School of Management[42]	4,790,575	1,321,114	1,771,548	1,612,249	4,274,753
TOTAL	**228,316,720**	**213,124,429**	**285,725,029**	**264,620,331**	**255,873,441**

[41] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env & Energy Sys and the Center for Sustainable Community Solutions.

[42] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[43] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

PROPOSALS SUBMITTED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CHANCELLOR	0	49,900	199,318	233,800	0
Archives & Records Management	0	10,000	0	0	0
Subtotal	***0***	***59,900***	***199,318***	***233,800***	***0***
ACADEMIC AFFAIRS – VC[44] [45] [46]	846,814	2,495,852	0	8,580	0
Center Excellence Environmental & Energy Sys	-	-	35,220,845	3,348,978	455,632
Center for Public & Community Services	33,204	0	0	0	0
Center for Sustainable Community Solutions	-	-	875,861	4,438,062	5,706,229
Coalition of Museum & Art Centers	0	0	2,313	0	26,942
Community Engagement/Economic Dev	0	0	0	275,000	0
Imagining America	15,000	400,000	202,252	15,000	4,000
Institute for Veterans and Military Families	-	2,016,864	3,247,588	16,562,459	-
VP for Strategic Business Dev and Innovation	-	-	249,769	159,000	49,510
VP for Sustainability Initiatives	0	0	0	0	636,187
Subtotal	***895,018***	***4,912,716***	***39,798,628***	***24,807,079***	***6,878,500***
VETERAN AND MILITARY AFFAIRS[46]					
Institute for Veterans and Military Families	-	-	-	-	23,523,630
Subtotal	***-***	***-***	***-***	***-***	***23,523,630***
SR VP HUMAN CAPITAL DEVELOPMENT					
Community Development	0	0	0	5,000	5,000
Human Resources	23,752	0	186,402	0	13,376
Subtotal	***23,752***	***0***	***186,402***	***5,000***	***18,376***
BUSINESS, FINANCE AND ADMINISTRATIVE SERVICES					
Design and Construction	500,000	0	2,850,000	0	2,500,000
Subtotal	***500,000***	***0***	***2,850,000***	***0***	***2,500,000***
ENROLLMENT MANAGEMENT	***449,269***	***0***	***0***	***0***	***0***
GRADUATE SCHOOL	***0***	***0***	***0***	***13,500***	***0***
INFORMATION TECHNOLOGY AND SERVICES					
Information Technology Service	0	417,170	498,452	0	396,098
Subtotal	***0***	***417,170***	***498,452***	***0***	***396,098***
LIBRARY					
Academic Services	673,009	0	0	0	0
Access and Resource Sharing	0	0	0	0	158,000
Library Administration	0	299,987	145,930	0	0
Special Collections	126,000	126,000	347,766	14,600	10,400
Syracuse University Press	8,000	13,000	14,000	4,000	5,000
Subtotal	***807,009***	***438,987***	***507,696***	***18,600***	***173,400***

[44] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env &Energy Sys and the Center for Sustainable Community Solutions.

[45] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

[46] In FY15, the Institute for Veterans and Military Families (IVMF) transitioned from Vice Chancellor for Academic Affairs office to the Vice Chancellor for Veteran and Military Affairs office. All activities for FY15 are reflected in this new unit.

PROPOSALS SUBMITTED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
OFFICE OF RESEARCH[47]					
CASE	2,785,600	70,000	0	33,000	4,606,000
Center Excellence Environmental & Energy Sys	6,093,783	11,056,751	-	-	-
Vice President for Research	0	0	129,194	0	0
Subtotal	***8,879,383***	***11,126,751***	***129,194***	***33,000***	***4,606,000***
STUDENT AFFAIRS					
Recreation Services	0	0	0	0	1,000
Subtotal	***0***	***0***	***0***	***0***	***1,000***
INSTITUTIONAL ADVANCEMENT					
SU Art Galleries	50,000	68,112	49,657	0	0
Subtotal	***50,000***	***68,112***	***49,657***	***0***	***0***
ASSOCIATE PROVOST ACAD PROGRAMS					
Project Advance	0	0	0	0	12,000
Subtotal	***0***	***0***	***0***	***0***	***12,000***
ARCHITECTURE	***214,957***	***563,104***	***113,750***	***180,695***	***97,920***
ARTS AND SCIENCES					
Art & Music History	25,000	0	0	25,000	0
Arts & Sciences – Dean	405,500	428,341	7,270,105	0	3,000
Biology	15,599,422	12,476,380	14,656,871	52,756,102	13,479,016
Chemistry	20,157,440	13,353,833	11,114,408	17,522,309	18,045,148
Communication Sciences & Disorders	6,579,639	1,914,729	5,385,688	3,481,841	2,819,322
Curriculum/Instruction	200,000	0	0	0	0
Earth Sciences	5,768,438	6,363,326	10,979,209	8,435,841	3,685,291
Forensic and National Security Sciences Institute	-	-	72,336	3,809,745	17,664,459
Humanities Center	1,000,000	0	0	0	2,300,000
Languages, Literatures & Linguistics	783,733	301,449	2,304,341	637,028	958,983
Mathematics	964,365	1,743,723	3,104,945	1,295,069	2,204,141
Philosophy	0	0	0	75,445	0
Physics	18,005,655	24,301,029	16,702,480	25,492,127	20,314,680
Psychology	9,621,696	10,654,190	9,312,370	6,027,264	5,000,784
Religion	31,278	24,526	0	0	123,570
Science Teaching	784,790	5,246,395	3,250,258	1,363,742	0
Women's and Gender Studies	0	9,685	0	0	0
Writing Program	0	0	0	0	30,000
Subtotal	***79,926,956***	***76,817,606***	***84,153,011***	***120,921,513***	***86,628,394***

[47] In FY13, COE was transitioned from the Office of Research to the Vice Chancellor for Academic Affairs Office. With this transition, the Center was split into two departments, the Center of Excellence for Env &Energy Sys and the Center for Sustainable Community Solutions.

PROPOSALS SUBMITTED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
EDUCATION	331,557	394,454	51,000	0	168,000
Center on Human Policy	299,341	943,543	40,000	3,773,433	574,639
College Preparation Program	338,545	0	380,638	0	359,555
Continuing Education & Global Outreach	48,100	0	0	0	0
Counseling & Human Services	15,000	0	0	30,000	150,000
CSTEP	334,440	369,574	0	0	835,868
Cultural Foundations of Education	0	0	663,678	40,999	66,550
Exercise Science	1,620,210	3,129,906	706,545	291,843	2,715,133
HEOP	0	0	0	1,170,400	0
Higher Education	0	1,800	224,099	100,001	449,990
Instructional Design, Dev & Evaluation	379,661	675,124	457,296	597,998	0
McNair	0	1,157,198	0	0	0
Office of Professional Research and Dev	45,900	422,023	124,850	473,500	170,172
Partnership for Better Education	85,000	16,254	0	0	0
Reading & Language Arts	2,040,070	47,509	453,958	319,760	271,760
Say Yes to Education	999,958	1,131,913	0	670,500	401,264
Supportive Services	49,999	99,992	99,990	0	407,070
Teaching & Leadership	12,244,226	4,983,397	31,743,621	1,809,912	5,291,248
Subtotal	***18,832,007***	***13,372,687***	***34,945,675***	***9,278,346***	***11,861,249***
ENGINEERING AND COMPUTER SCIENCE	0	0	3,384,948	93,078	0
Biomedical & Chemical Engineering	12,485,839	14,821,950	14,104,720	13,292,074	12,854,764
Civil & Environmental Engineering	4,089,656	13,822,146	8,934,122	8,327,715	4,587,086
Electrical Engineering & Computer Science	10,909,497	16,300,675	24,717,863	15,956,847	31,090,967
Mechanical & Aerospace Engineering	4,862,236	6,304,855	13,155,501	9,249,099	12,034,345
Subtotal	***32,347,228***	***51,249,626***	***64,297,154***	***46,918,813***	***60,567,162***
FALK COLLEGE OF SPORT AND HUMAN DYNAMICS					
Aging Studies Institute[48]	-	-	280,742	121,571	3,862,992
Child & Family Studies	811,444	810,535	576,429	164,480	471,941
Food Studies	0	0	0	553,310	884,586
Marriage & Family Therapy	0	0	0	424,764	25,550
Nutrition Science & Dietetics	49,958	374,167	4,693,560	147,806	30,492
Public Health	6,799,608	5,167,090	5,000	2,733,711	4,243,820
School of Social Work	196,735	522,282	47,400	1,791,211	25,917
Sport Management	14,930	5,993	0	384,242	6,182
Subtotal	***7,872,675***	***6,880,067***	***5,603,131***	***6,321,095***	***9,551,480***
INFORMATION STUDIES	***14,503,890***	***15,947,329***	***26,573,113***	***22,499,213***	***10,987,541***
LAW	1,314,918	38,000	507,274	372,097	286,796
BBI: Centers on Innovation & Disability	39,629,934	16,430,978	7,953,243	11,682,374	9,365,179
Law Instruction	195,194	255,150	16,500	241,500	1,520,380
Subtotal	***41,140,046***	***16,724,128***	***8,477,017***	***12,295,971***	***11,172,355***

[48] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.

PROPOSALS SUBMITTED
by Department & Program (Cont'd)
(Dollars)

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS					
Aging Studies Institute[49]	-	-	742,262	3,451,048	134,314
Anthropology	657,260	209,248	349,901	224,105	538,966
Campbell Institute	761,625	335,188	0	0	479,782
Center for Environmental Policy & Admin.	0	30,098	0	0	197,281
Center for Policy Research	3,943,776	2,285,499	2,146,512	2,484,816	6,274,869
Ctr for Technology Info Policy	0	0	0	0	15,000
Economics	25,001	381,991	232,233	282,431	232,781
Geography	763,620	983,556	219,852	1,776,157	544,820
History	65,376	70,054	13,069	282,131	99,000
International Relations	0	0	0	1,597,500	7,500
Maxwell - Dean	0	2,749,144	2,026,184	0	268,262
Mid-Career & Executive Education	3,379,090	1,028,558	6,513,976	4,827,822	3,655,736
Moynihan Institute of Global Affairs	4,033,635	1,299,495	616,178	2,467,998	1,431,953
PARC-Analysis & Resolution of Conflict	0	88,659	0	159,615	4,800
Political Science	1,267,159	358,837	661,595	62,485	282,017
Public Administration	364,853	139,996	222,919	486,615	1,310,811
Sociology	794,041	883,116	944,934	12,000	219,677
Subtotal	***16,055,436***	***10,843,439***	***14,689,615***	***18,114,723***	***15,697,569***
NEWHOUSE SCHOOL OF PUBLIC COMMUNICATIONS					
Public Communications	944,944	1,668,472	175,000	879,603	6,241,251
TRAC - Newhouse[50]	-	-	-	26,000	205,000
Subtotal	***944,944***	***1,668,472***	***175,000***	***905,603***	***6,446,251***
UNIVERSITY COLLEGE (SUCE)					
English Language Institute	0	213,875	172,686	0	171,294
General Administration	0	4,583	250,001	0	69,120
HEOP(UC)	0	0	0	302,400	0
Summer Support & Retention	0	0	165,000	0	0
TED Center	26,056	80,360	18,670	65,000	79,349
Subtotal	***26,056***	***298,818***	***606,357***	***367,400***	***319,763***
VISUAL AND PERFORMING ARTS	0	0	70,242	3,050	60,000
Art & Design	0	74,869	0	42,181	0
Communication & Rhetorical Studies	57,519	124,098	30,069	0	0
Drama	0	215,436	0	0	0
Music	0	0	0	4,500	0
Transmedia Studies	0	0	0	44,000	100,000
Subtotal	***57,519***	***414,403***	***100,311***	***93,731***	***160,000***
WHITMAN SCHOOL OF MANAGEMENT[51]	1,948,208	868,755	733,000	0	25,000
Army Comptrollership	0	0	0	495,417	2,563,302
Entrepreneurship Program	2,600,000	90,405	614,360	808,273	1,536,451
TRAC Program[50]	242,367	361,954	424,188	308,559	150,000
Subtotal	***4,790,575***	***1,321,114***	***1,771,548***	***1,612,249***	***4,274,753***
TOTAL	**228,316,720**	**213,124,429**	**285,725,029**	**264,620,331**	**255,873,441**

[49] The Aging Studies Institute is an interdisciplinary center between the Maxwell School and the Falk College. Proposal, award and expenditure figures are reflected in the units where the projects were generated. Totals can be derived by combining the Aging Institute from both colleges.

[50] In FY14, the TRAC program became an interdisciplinary program between the Whitman School of Management and the Newhouse School of Public Communications. Proposal and award figures are reflected in the units where the projects were generated. TRAC totals can be derived by combining.

[51] In FY12, the Institute for Veterans and Military Families (IVMF) became a part of the Vice Chancellor for Academic Affairs Office. Activities related to the support of veterans and their families were previously reflected in the Whitman School of Management.

SECTION V RESEARCH INTEGRITY AND PROTECTIONS

The Office of Research Integrity and Protections (ORIP) administers two institutional regulatory bodies – the Institutional Review Board (IRB), which approves human subjects' research, and the Institutional Animal Care and Use Committee (IACUC), which approves and oversees animal research. These committees are responsible for ensuring that all research involving human participants or animals at Syracuse University is conducted according to the highest ethical standards.

HUMAN PARTICIPANTS RESEARCH

In the spring of 2015, with the Institutional Review Board's recommendation the University made the decision not to renew AAHRPP accreditation based on the substantial resources to maintain accreditation, the current level of risk of human subjects' research conducted at Syracuse University and the accreditation status of our peer institutions. In addition to meeting the federal regulations, the human research protection program currently has a strong review program that incorporates a focus on training and quality improvement. These benefits to the current program will continue. While the program has benefited internally, there is little evidence of how accreditation benefits the University externally (for example, Sponsored funding).

ORIP continues to devote considerable effort to revising IRB materials and has initiated modifications and revisions to current policies and procedures to meet best practices for human participant research and compliance. Improvements to checklists, forms and operating procedures provide guidance to IRB members, investigators and staff while documenting a robust review of IRB protocols and consent documents. The ORIP staff presents information regarding the IRB application process to approximately 10-12 groups on campus per year, including SUNY ESF (e.g., research methods classes, graduate seminars, McNair students, etc.).

In FY 2015, the IRB authorized or approved 353 new protocols (187 exempt, 154 expedited and 12 full board) and 157 renewals (139 expedited and 18 full board). An additional 27 protocols were pending action or provisionally approved, and 310 had been closed or terminated during the year. As of June 30, 2015, the University had 1082 active protocols: 29 with full board approval, 294 with expedited approval, and 759 exempt from approval. This represents a 0.02 % increase in total active protocols in FY 2015 compared to FY 2014.

IRB PROTOCOLS

Active or Authorized as of June 30, 2015

DEPARTMENT OR UNIT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Academic Affairs	3	6	7	11	10
Graduate School	2	2	0	0	1
Library	6	7	4	5	5
Office of Research	1	3	1	1	1
Student Affairs	18	11	4	3	4
SU Abroad	0	0	0	1	1
Assoc Provost Acad Programs	5	4	7	6	6
Architecture	2	3	1	0	0
Athletics	1	2	2	1	1
Arts and Sciences	203	186	181	203	215
Education	165	170	161	150	156
Engineering and Computer Science	13	14	20	19	23
Falk College of Sport and Human Dynamics	67	70	77	83	88
Information Studies	75	78	81	99	98
Law	20	25	18	16	16
Maxwell School of Citizenship and Public Affairs	187	184	171	170	171
Newhouse School of Public Communications	162	168	162	161	172
University College (SUCE)	1	2	2	1	1
Visual and Performing Arts	14	11	12	11	7
Whitman School of Management	43	46	36	34	24
SUNY ESF	56	69	82	86	81
Unaffiliated Investigators	5	4	4	1	1
TOTAL	**1049**	**1065**	**1033**	**1062**	**1082**
Summary of active protocols					
Expedited/Full Board Review	**320**	**304**	**300**	**314**	**323**
Expedited	281	275	272	286	294
Full Board	39	29	28	28	29
Exempt from Regulations	**729**	**761**	**733**	**748**	**759**
Fiscal Year Actions					
Expedited Review	**605**	**552**	**574**	**599**	**629**
New Protocols	149	140	143	164	154
Renewals	136	141	126	119	139
Amendments	202	125	148	160	203
Closed	118	146	157	156	133
Full Board Review	**171**	**84**	**64**	**83**	**94**
New Protocols	10	13	12	13	12
Renewals	33	21	17	19	18
Amendments	102	30	23	39	54
Closed	26	20	12	12	10
Exempt from Regulations	**350**	**337**	**359**	**413**	**424**
New Protocols	139	150	141	182	187
Amendments	68	52	55	61	70
Closed	143	135	163	170	167
Total	**1126**	**973**	**997**	**1095**	**1147**

ANIMAL RESEARCH-CARE AND USE PROGRAM

To ensure that the animal program remains current with state-of-the-art knowledge and compliance practices, both program staff and IACUC members regularly participate in professional development opportunities sponsored by AAALAC and the National Association for Biomedical Research (NABR). The program continues to review and update operating procedures, training programs and forms in order to be in full compliance with applicable federal regulations and accreditation standards. Other indicators of the University's high quality animal program include the outcomes of the IACUC's semi-annual program and facilities' review resulting in no major deficiencies, as well as no deficiencies identified or noted during annual inspections conducted by outside agencies such as the United State Department of Agriculture and New York State Department of Health.

In the Spring of 2014 the Office of Research secured the services of an outside expert to evaluate the efficiency of the Laboratory Animal Resources (LAR) operations and to offer recommendations for improvement.

SU's animal program has changed considerably in the past few years. The facilities have changed dramatically, and animal usage has grown and is expected to continue to grow. Given the expected animal program growth, the purpose of the evaluation was to identify areas for operational improvement that, when implemented, could reduce costs and increase program efficiency.

Key recommendations from the consultant's report that have been implemented in FY 15:

- Replace animal products protocol proposals with a one page form, reviewed and approved by the Attending Veterinarian.
- Update significant amendment from 5% to 10% change in animal numbers.
- Review protocols and occupational safety practices involving the use of hazardous chemicals in animals to ensure those chemicals are actually hazardous.
- Eliminate weekly census count.
- Move idle equipment to offsite storage.

Key recommendations from the consultant's report that have not been implemented in FY 15:

- Replace paperbased system with electronic format.
- Hire current temporary employee as a permanent employee to assist with current workload and increased workload as the facility grows.
- Increase animal use trainings if possible with current staff.
- Provide services to animal users such as injections, anesthesia, minor surgical procedures at a pre-determined service rate, if possible with current staff.

On March 4, 2015, the Syracuse University Institutional Animal Care and Use Committee (IACUC) voted to approve a new administrative review policy, pursuant to NIH guidelines on interpretation of PHS policy concerning the allowance of administrative approval for certain types of changes to approved IACUC protocols.

In FY 2015, the IACUC authorized or approved 43 protocols (10 new, 33 renewals) compared to 44 protocols in FY 2014. An additional 3 protocols were terminated during the year and 2 were pending action as of June 30, 2015. This represents a 2.3 % decrease in total active protocols in FY 2014. However, the increase in new animal products protocols and minor amendments represent a 13.7 % increase in Fiscal Year Actions. In addition, the FY 15 year end (June 30) animal census represents a 5.8% increase from the previous year.

ACTIVE IACUC PROTOCOLS

Active or Approved as of June 30, 2015

DEPARTMENT	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
OFFICE OF RESEARCH					
Office of Research Integrity & Protections	1	1	1	2	2
Subtotal	***1***	***1***	***1***	***2***	***2***
ARTS AND SCIENCES					
Biology	20	29	26	25	23
Chemistry	2	2	1	0	1
Forensic Science	1	3	4	3	2
Psychology	2	1	2	2	2
Physics	1	1	1	1	1
Subtotal	***26***	***36***	***34***	***31***	***29***
EDUCATION					
Exercise Science	6	5	5	4	6
Subtotal	***6***	***5***	***5***	***4***	***6***
ENGINEERING AND COMPUTER SCIENCE					
Biomedical & Chemical Engineering	13	9	7	5	4
Civil & Environmental Engineering	2	3	3	2	2
Subtotal	***15***	***12***	***10***	***7***	***6***
VISUAL AND PERFORMING ARTS					
Transmedia Arts	0	1	0	0	0
Subtotal	***0***	***1***	***0***	***0***	***0***
UTICA COLLEGE	***2***	***1***	***1***	***0***	***0***
LEMOYNE COLLEGE	***0***	***2***	***2***	***0***	***0***
TOTAL	**50**	**58**	**53**	**44**	**43**
Fiscal Year Actions					
Committee Review	***26***	***32***	***18***	***10***	***11***
New Protocols	16	22	12	6	10
Amendments	10	10	6	4	1
Designated Review	***20***	***33***	***42***	***45***	***56***
New Animal Products Protocols	4	8	6	6	8
Minor Amendments	16	25	36	39	48
Administrative Review	***30***	***28***	***35***	***32***	***32***
Annual protocol update requests	30	28	35	32	33
Total	**76**	**93**	**95**	**87**	**99**